

A J Bell has won an array of prestigious industry awards recognising our reputation for leading products and services. A J Bell products include Sippdeal, Sippdealxtra, Sippcentre, A J Bell Platinum and A J Bell Securities.



Sipp Product Provider  
of the Year  
**SIPPDEAL**



## Transfer Form



# 1 Transferring member instructions

This form is your authority to transfer benefits from another registered pension scheme into a Sipdeal SIPP. This form is supplementary to your Application Form.

If you would like a copy of this or any other item of our literature in large print, Braille or in audio format, please contact us on 0845 54 32 600 or by e-mail enquiry@sipdeal.co.uk.

You must complete all of the details requested on the following page and sign the declaration.

If the transfer is to be made in the form of a cash amount from the transferring scheme (a 'cash transfer') the form must be forwarded to the scheme administrator of the transferring scheme. Please do not return this form directly to us if a cash transfer is being made.

If the transfer is to take the form of the transfer of cash and other assets/investments (an 'in-specie transfer') the form must be returned to us at the address below.

**Sipdeal**  
**A J Bell Management Limited**  
**Trafford House**  
**Chester Road**  
**Manchester**  
**M32 0RS**  
**Tel: 0845 54 32 600**  
**Fax: 0845 54 32 601**

## 2 To be completed by the transferring member

Full name of member

Date of birth         Your SIPP reference (if known)

Transferring scheme name

Member's reference of policy number under transferring scheme

Name of administrator of transferring scheme

Address of administrator of transferring scheme

Post code  Country

Is the transfer cash only? Yes  No  Is the transfer to contain protected rights? Yes  No

For an in-specie transfer, please provide the name and address of the existing investment manager/stockbroker below (if applicable). Please also complete the list of the assets to be transferred and their values in the assets to be re-registered section below. If this section is not completed this may lead to delays in processing the transfer.

Name of stockbroker

Address of stockbroker

Post code  Country

## 3 Assets to be transferred

Asset Name/Description	SEDOL/ISIN	Units	Value

Please continue on a separate sheet if necessary.

## 4 Request for transfer

I request the scheme administrator of the transferring scheme to transfer the value of my benefits under the transferring scheme to the receiving scheme in the form of:-

1. A cash payment made by cheque, or such other method agreed with Sippdeal; or
2. An in-specie transfer of assets held on my behalf (after deduction of any outstanding liabilities or charges). Where appropriate Sippdeal will advise details of the nominee to whom assets must be transferred. I understand that the existing investment manager or administrator of the transferring scheme is responsible for collecting dividends and tax reclaims, and realising all rights and entitlements in respect of the assets transferred and for passing them to the receiving scheme.

I authorise the scheme administrator, provider or insurer of the transferring scheme to provide Sippdeal or A J Bell Management Limited or Sippdeal Trustees Limited with any information they request regarding my benefits under the transferring scheme. I agree that a copy of this authority should have the validity of the original.

I apply to the scheme administrator of the receiving scheme to accept the transfer from the transferring scheme and to pay it into my SIPP. I confirm that the information provided relevant to my application to transfer benefits into my SIPP is correct and complete, to the best of my knowledge and belief.

I confirm that I have not received any advice or recommendation in relation to the transfer from, a representative of Sippdeal or A J Bell Management Limited or Sippdeal Trustees Limited.

### Additional declaration for transfers of unsecured pension, or alternatively secured pension (if applicable)

I declare that all, or a part, of the transfer payment has been designated to provide either unsecured or alternatively secured pension benefits for me under the transferring scheme. I understand that the transferring scheme is responsible for confirming the amount (if any) designated to provide these benefits.

I further understand that the amount of the transfer payment representing these benefits will be applied to provide me with unsecured pension or alternatively secured pension under the receiving scheme and will be subject to the same income limits and review periods as under the transferring scheme, until such time as a further review of these limits is triggered.

Signed

Date

## 5 Transferring scheme instructions

If you require any further forms to be completed by the transferring member please contact the member directly.

For a cash transfer, your cheque must be made payable to 'Sippdeal Trustees Limited re [insert name of transferring member]' and sent to the address shown above.

For **all** transfers you must provide us with full details of the benefits being transferred. The information that you provide must confirm:

- That the transferring scheme is a registered pension scheme under Finance Act 2004.
- The transferring scheme's HMRC Pension Scheme Tax Reference.
- The Total Transfer Value.
- Whether the transfer contains any protected rights/contracted out benefits and, if so, full details of them.
- Whether the transfer contains any crystallised benefits and, if so, full details of them.
- Details of any transitional protection relating to the benefits or the transferring member and, if relevant, whether the transfer is part of a block transfer.
- Whether the transfer value derives from a Pension Sharing Order or Provision, or whether an Earmarking Order is in force in relation to the transferring benefits.

For **all** transfers you must also return this Transfer Authority Form, or a copy of it, to us at the address shown above.

## 6 Regulatory

A J Bell includes A J Bell Holdings Limited and its wholly owned subsidiaries A J Bell Management Limited, A J Bell Limited and A J Bell Securities Limited.

A J Bell Management Limited is authorised and regulated by the Financial Services Authority. A J Bell Securities Limited is a member of the London Stock Exchange and is authorised and regulated by the Financial Services Authority.

Sippdeal, Sippdealxtra and Sippcentre are platforms provided by A J Bell Management Limited. A J Bell Platinum SIPP is provided by A J Bell Management Limited. A J Bell Platinum SSAS is provided by A J Bell Limited.

The companies listed in the adjacent table are all registered in England and Wales at Trafford House, Chester Road, Manchester M32 0RS.

Company	Company Number	VAT Number
A J Bell Holdings Limited	4503206	833 5478 13
A J Bell Management Limited	3948391	759 3531 03
A J Bell Limited	3091664	639 0316 44
A J Bell Securities Limited	2723420	918 4226 21